U.S. DEPART ⬤ F COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES ⬤ Rec'd PCT/PTO **22 APR 1998**

DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

AT ⬤ EY'S DOCKET NUMBER

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/051843

INTERNATIONAL APPLICATION NO. PCT/AU96/00668	INTERNATIONAL FILING DATE 23 October 1996 (23.10.96)	PRIORITY DATE CLAIMED 23 October 1995 (23.10.95) *

TITLE OF INVENTION

A NOVEL HAEMOPOIETIN RECEPTOR AND GENETIC SEQUENCES ENCODING SAME

APPLICANT(S) FOR DO/EO/US

Tracy Willson, Nicos A. Nicola, Douglas J. Hilton, Donald Metcalf and Jian' Guo Zhang

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.

2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.

3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).

4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.

5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))

    a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).

    b. ☒ has been transmitted by the International Bureau.

    c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).

6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).

7. ☒ A copy of the International Search Report (PCT/ISA/210).

8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))

    a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).

    b. ☐ have been transmitted by the International Bureau.

    c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.

    d. ☒ have not been made and will not be made.

9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

10. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).

11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).

12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 18 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

15. ☐ A **FIRST** preliminary amendment.

    A **SECOND** or **SUBSEQUENT** preliminary amendment.

16. ☐ A substitute specification.

17. ☐ A change of power of attorney and/or address letter.

18. ☒ Certificate of Mailing by Express Mail

19. ☒ Other items or information:

> **Courtesy copy of international application**
> **24 sheets of drawings (Figs. 1-10)**
> *** 22 December 1995 (22.12.95)**
> **9 September 1996 (09.09.96)**

20.　　The following fees are submitted:.

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

| | | CALCULATIONS　PTO USE ONLY |

☐　Search Report has been prepared by the EPO or JPO . . . . 　**$930.00**

☐　International preliminary examination fee paid to USPTO (37 CFR 1.482)
. . . . 　**$720.00**

☐　No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) . . . . . . 　**$790.00**

☒　Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO . . . . 　**$1,070.00**

☐　International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) . . . . 　**$98.00**

ENTER APPROPRIATE BASIC FEE AMOUNT = 　**$1,070.00**

Surcharge of **$130.00** for furnishing the oath or declaration later than ☐ 20　☒ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).· 　**$130.00**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	49　- 20 =	29	x　$22.00	$638.00	
Independent claims	14　- 3 =	11	x　$82.00	$902.00	
Multiple Dependent Claims (check if applicable).			☒	$270.00	

TOTAL OF ABOVE CALCULATIONS　= 　$3,010.00

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) **(check if applicable).**　☐　**$0.00**

SUBTOTAL　= 　$3,010.00

Processing fee of **$130.00** for furnishing the English translation later than ☐ 20　☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).　+　**$0.00**

TOTAL NATIONAL FEE　= 　$3,010.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) **(check if applicable).**　☐　**$0.00**

TOTAL FEES ENCLOSED　= 　$3,010.00

| | Amount to be: refunded | $ |
| | charged | $ |

☒　A check in the amount of **$3,010.00**　　to cover the above fees is enclosed.

☐　Please charge my Deposit Account No.　　in the amount of　　to cover the above fees.
　　A duplicate copy of this sheet is enclosed.

☒　The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No.　**19-1013**　A duplicate copy of this sheet is enclosed.

NOTE:　Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Leopold Presser
Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, New York 11530
(516)742-4343

SIGNATURE

Leopold Presser
NAME

19,827
REGISTRATION NUMBER

April 22, 1998
DATE

09/051843

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s):   Tracy Willson, et al.

Docket No.

11373

Serial No. **Unassigned**	Filing Date **Herewith**	Examiner	Group Art Unit

Invention: **A NOVEL HAEMOPOIETIN RECEPTOR AND GENETIC SEQUENCES ENCODING SAME**

I hereby certify that this   <u>**New PCT Application**</u>
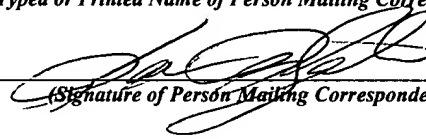
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under

37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

<u>**April 22, 1998**</u>

(Date)

Karen DeSalvo

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

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